A Diagnostic Algorithm based on Models at Different Level of Abstraction

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ABSTRACT

The difficulties encountered in applying knowledge-based system technology to complex industrial environments have made the need for representing and using deep knowledge about physical systems increasingly clear to system designers. A rather large number of approaches to modeling and reasoning with deep knowledge have been experimented, but the impact of these new techniques, often referred to as *model based reasoning*, on real applications is still poor. This paper presents a novel model-based diagnostic method, whose distinctive features make it practical for diagnostic problem solving in automated systems for monitoring continuous processes. The method we introduce makes use of models at different levels of abstraction, qualitative and quantitative. In particular, we discuss an algorithm based on a quantitative, real-valued algebraic model, and a qualitative causal model that can be easily derived from the former in an automated way. The causal model is used for candidate generation, and the real-valued model for validation/rejection of candidates.

1 Introduction

The difficulties encountered in applying knowledge-based system (KBS) technology to complex systems have made the need for representing and using deep knowledge about system behavior increasingly clear to KBS designers. This knowledge is typically well structured, formal, relying on established theories. For example, knowledge about solid state physics, semiconductor technology, and electronic design in circuit testing [Brown *et al.*, 1982, Davis, 1984], knowledge about macro-economic laws in financial forecasting [Iwasaki and Simon, 1986], and physiological knowledge and biochemical knowledge in medical diagnosis [Kuipers, 1985]. As opposed to empirical associational knowledge, such knowledge is said to provide a deep model of the domain.

While the impact of the new techniques for dealing with deep models on real applications is still poor, a rather large number of approaches to modeling and reasoning with deep knowledge have been experimented. They can be classified into two broad categories:

1) Several authors basically aim at identifying and representing the causal structure underlying a specific expertise. Rieger and Grinberg [1977] first practised such an approach, which has been recently experienced again by Fink [1985], Guida [1985], Torasso and Console [1987] among others.

2) A different line of thought avoids explicit representation of causal dependency, conforming to the classical approach of physical sciences. This approach is often referred to as qualitative modelling (refer to Bobrow [1984] for a comprehensive review of the major relevant works), but the term is rather inappropriate, as many works, and especially the ones coping with realistic applications [Genesereth, 1984, de Kleer and Williams, 1987] actually make use of quantitative models.

Most of these applications, and especially the ones faced according to the latter approach, we will focus on hereinafter, concern diagnosis. For instance DART [Genesereth, 1984] and GDE [de Kleer and Williams, 1987] represent two of the most mature attempts of transferring new modeling concepts in the realm of practical applications. Both focus on the same task, i.e. diagnosis of electronic circuitry, and adopt a quantitative model of the system to be diagnosed. Compliant with the current way of representing and reasoning about electronic circuitry, they represent the system to diagnose as a set of interconnected components of known transfer function[1]. The inference mechanism adopted is basically different, however, as Genesereth uses a linear input resolution algorithm on a set of first order clauses, while de Kleer and Williams couple constraint propagation with an Assumption-based Truth Maintenance System (ATMS) for managing different diagnostic hypotheses.

Nevertheless, both works adopt the same basic diagnostic strategy which consists of:

a) identifying that a fault exists by comparing the simulated device behavior with the actual one;

b) generating a list of candidate faulty components by reasoning on the system structure;

[1] Both the direct and the inverse transfer function are actually used for solving the diagnostic problem.

c) identifying a set of new test points allowing to further discriminate among the candidate sets;

d) completing the discrimination process through examination of further test cases (which are automatically generated by DART).

This paper presents a novel model-based diagnostic method, whose distinctive features make it suitable for diagnosis of continuous processes, an application field which is deemed to be highly promising and challenging for the application of knowledge based systems, and has received much attention in recent years.

The method we introduce makes use of models at different levels of abstraction[2], qualitative and quantitative. In particular, we discuss an algorithm using a quantitative, real-valued algebraic model, and a qualitative causal model that can be easily derived from the former in an automated way. The causal model is used for candidate generation, and the real-valued model for validation/rejection of candidates. This way, candidate generation based on a qualitative causal model provides explanations for the diagnoses validated on a quantitative ground. Candidate generation is performed with rather simple new techniques, compared to previous approaches, and candidate validation makes use of consolidated numerical methods.

We discuss in the following sections:

- the diagnostic situation which has motivated and determined our approach;
- the method based on reasoning at different levels of abstraction;
- the algorithm using a causal and a real-valued model;
- a comparison between our method and previous approaches.

2 The Diagnostic Problem

The behaviour of a dynamic system can be modeled by a set of differential equations relating its actual state to the input vector and to the previous state:

$$\underline{s}(t+1) = F(\underline{s}(t), \underline{i}(t)) \qquad (1)$$

where F is expressed using both algebraic and differential operators.

Most industrial processes are designed to operate, however, in a stationary condition identified as a maximum of a defined function of merit. In these situations, we may assume that the observable stationary state of the system solely depends on the values attributed to the set of input values:

$$\underline{s}(t) = f(\underline{i}(t)) \qquad (2)$$

Within vector $\underline{i}$, we can also distinguish between proper input variables ($\underline{u}$), which are observable, and unknown disturbances that can modify some parameters p of the system, whose values are defined when the system is designed and should be constant in order to maintain the system at the desired state. From (2) the state $\underline{s}$ can be expressed as:

$$\underline{s} = f(\underline{u}, \underline{p}) \qquad (3)$$

In these systems a diagnostic problem arises when the system state changes independently from a variation of the input vector $\underline{u}$, due to disturbances affecting one or more system parameters. Under well known conditions upon (1) the system is stable and one must assume that, following parameters variations, the system recovers a stationary state $\underline{s}'$ such that:

$$\underline{s}' = f(\underline{u}, \underline{p}'). \qquad (3')$$

The diagnostic problem consists of determining which variations:

$$\Delta\underline{p} = \underline{p}' - \underline{p} \qquad (4)$$

may have occurred to generate the displacement:

$$\Delta\underline{s} = \underline{s}' - \underline{s} \qquad (5)$$

of the observable system state.

Let's notice that we refer to system anomalies that are said malfunctions, rather than faults, in that the structure of the system model (as defined by (1)) remains unchanged. Recovery from early malfunction conditions is the proper function of most control systems, both automated and manually controlled (the latter are often said monitoring or supervisory systems). In these latter systems, which apply to most industrial processes (e.g., power generation), diagnosis is often mandatory for determining the most appropriate control and/or repair operation.

In malfunction conditions it is often reasonable to assume that variation $\Delta\underline{p}$ is small enough that $\Delta\underline{s}$ linearly depends on $\Delta\underline{p}$:

$$\Delta\underline{s} = C \cdot \Delta\underline{p} \qquad (6)$$

where the coefficients of the sensitivity matrix C are given by

$$c_{ij} = \left[\frac{\partial f_i}{\partial p_j}\right]_{p_j = p_{j0}} \quad i=1,\dots,m \quad j=1,\dots,n$$

Ordinarily C is a rectangular m×n matrix with n > m, and diagnosis consists in identifying, among the infinite solutions to system (6), the ones which comply with some minimality criterion. Peng and Reggia [1986] individuate in non-redundancy the most appropriate criterion for diagnosis. In summary, we can state our diagnostic problem as the one of identifying the non-redundant solutions to system (6). Formal definitions of diagnosis and non-redundancy are given later in section 3.1.

Prior to analyzing how the problem can be solved, let's note here that it may be rather complex even for systems of moderate dimensions. A straightforward approach to its solution will be to look for non-redundant solutions of system (6) among the self contained subsystems of order m that can be obtained from (6) by imposing that a subset of n-m components of $\Delta\underline{p}$ is null:

$$\Delta\underline{s}_{(m)} = C_{(m \times m)} \cdot \Delta\underline{p}_{(m)} \qquad (7)$$

this gives, in the worst case, $\binom{n}{m}$ subsystems of order m to be solved. In most cases, the values of $\underline{s}$ in (3) are

[2] When a qualitative model is derived from a quantitative one [Kuipers, 1984, de Kleer and Brown, 1984], the former is an abstraction of the latter in that qualitative variables range in a finite set of values vs. an infinite set.

continuously monitored, so that one may assume to compute $\Delta\underline{s}$ with the sampling rate of the monitoring equipment, and start a diagnosis whenever a norm of $\Delta\underline{s}$ goes beyond a given threshold.

In conclusion, let's stress the distinctive features of our problem compared to the circuitry diagnosis problem which models diagnostic systems like DART and GDE:

- In circuitry diagnosis, the problem is rather one of fault insulation (picking up the electric component(s) which do not behave correctly) than one of system state identification.
- In circuitry diagnosis, a fault alters radically the system behaviour, so that one is aimed at identifying which component model(s) are contradictory with the observed circuit behaviour. In process control, one is aimed at identifying malfunctions, rather than faults. Early diagnosis of malfunctions and timely intervention is properly the way to avoid drastic alterations of the system behaviour.
- In circuitry diagnosis, an interesting subproblem is to identify which measurement and/or test take next on the system to refine/validate a diagnostic hypothesis. This suggests a strategy based on stepwise refinement of diagnosis, starting from few symptoms.
  In process control all the measurements are normally taken and available when diagnosis starts.

3 The Diagnostic Method

3.1 The Basic Diagnostic Strategy

With reference to system (6) of section 2 we may now introduce the following definitions:

- Definition: a set P of parameters is said to be a diagnosis of (6) iff a solution $\Delta\underline{p0}$ of system (6) exists such that all and only the components of $\Delta\underline{p0}$ corresponding to the elements of P are non-null.
- Definition: a diagnosis P is non-redundant iff there is no diagnosis P' such that $P' \subset P$.

We have noted that determining the non-redundant diagnoses of (6) requires finding the base solutions of a real-valued, linear, under-constrained system. This means to search in a space which is rather large, even for systems of moderate complexity. However, we may make an important remark: diagnosis (at least in the context of the problem we consider) is intrinsically qualitative. In fact, rather than in the real value of each component of a non-redundant solution P of (6), we are interested primarily in knowing which components of P are not null. Knowing which parameter is varied usually means to know what is the cause of the malfunction. This feature is clearly shown in [Gallanti *et al.*, 1986] where we introduce an example (diagnosis of a steam condenser in a power plant) representative of a large class of diagnostic systems. Therefore, we may argue that a qualitative model abstracted from (6) would probably be sufficient to our purposes, and would be far simpler to reason about than (6).

We note here, however, that, being a qualitative model more abstract (thus less detailed) than a quantitative one, there is a loss of resolution in the qualitative model, with respect to the real-valued one. Thus solutions obtained from a qualitative model will be possibly less detailed, but far less expensive to compute.

Hence we may devise a heuristic search strategy based on the qualitative model. Candidate solutions are to be searched on the qualitative model, and validated or rejected using the quantitative model.

When a qualitative solution P is quantitatively rejected, it can be refined considering for further validation on the quantitative model redundant solutions of the qualitative model encompassing P. This way the qualitative model is used for candidate generation, and the quantitative one for candidate validation.

3.2 Choice of the Qualitative Model

Among the several approaches to qualitative modeling, we could restrain ourselves to a rather simple model derived from (6), according to [de Kleer and Brown, 1984] simply by substituting in (6) real-valued variables Δp's, Δs's with the associated qualitative variables ∂p's, ∂s's, the real-valued sum and difference operators with the corresponding qualitative operators in a three values domain ((+, -, 0): these values indicate respectively increment, decrement and stability with respect to a reference value), and the coefficients of the variables by their sign. Let's notice that, given the qualitative nature of diagnosis, this model alone may be sufficient to our purposes for rather simple diagnostic problems like the one presented in [Gallanti *et al.*, 1986].

Unfortunately, it is easy to show [Struss, 1988] that in the domain defined by this simple qualitative calculus the additive inverse does not exist, and this makes it difficult to determine unique solutions to the set of qualitative equations derived from systems like (7), even when m is small. The limitations of the earlier qualitative calculus were faced either resorting to order of magnitude reasoning [Raiman, 1986], or by resorting to quantitative operators when qualitative ones give ambiguous results [Williams, 1988]. The approach we are going to illustrate is rather similar to the latter; however, we keep a neat separation between qualitative and quantitative models, using them for separate purposes (candidate generation and validation, respectively), and with separate resolution algorithms. On this vein, we have chosen to increase, rather than decrease, as Raiman [1986] does, the level of abstraction of the qualitative model, considering that the advantages, in terms of computational costs, should amply compensate for the loss in resolution[3].

The simpler qualitative model that can be abstracted from (6) is a purely causal model. Each equation:

$$\Delta s_i = c_{i,1}\,\Delta p_1 + \cdots + c_{i,n}\,\Delta p_n \quad (6i)$$

[3] However, we acknowledge that a thorough comparison to assess the relative merits of qualitative models at different levels of abstraction in the context of diagnosis is still to be done.

of system (6) can be interpreted as a statement of causal dependency between the variation of one, or more, parameters p_j, whose corresponding Δp_j appear with non-null coefficients $c_{i,j}$ in (6i), and the variation of the observable s_i. In particular, a non-null variation of s_i may be explained by a variation of at least one among the p_j:

$$\Delta s_i \Rightarrow \Delta p_j \vee \ldots \vee \Delta p_k \qquad \text{(L1)}$$

while a null variation of s_i implies that either none of the p_j is changed or that at least two parameters are changed but their combined effect on the observables is null:

$$\neg \Delta s_i \Rightarrow (\neg \Delta p_h \wedge \neg \Delta p_j \wedge .. \neg \Delta p_k) \vee (\Delta p_h \wedge \Delta p_j) \vee .. \vee (\Delta p_h \wedge \Delta p_k) \vee .. \vee (\Delta p_j \wedge \Delta p_k) \vee .. \qquad \text{(L2)}$$

Iwasaki and Simon [1986] outline a procedure for deriving from a set of quantitative equations a (partially ordered) causal model in form of a causal net. This net is generated from the connection matrix associated to C in (6). We present in the next section an algorithm for candidate generation (based on set operations) which does not need the generation of a causal net, in that it operates directly on the sensitivity matrix C.

4 The Diagnostic Algorithm

Compliant to the method outlined in section 3.1, the diagnostic algorithm consists of two steps: the first, candidate generation, derives possible diagnoses from an analysis of the qualitative model described in 3.2; the second, we will call candidate validation, consists of identifying among the candidates the non-redundant diagnoses consistent with the real-valued model (6). In this schema, dependency analysis based on the qualitative model is used as a heuristics for reducing the cardinality of the search space, in that it allows to reduce the number of self-contained subsystems like (7) to be solved.

4.1 Candidate Generation

The equations of (6) can be partitioned in two classes: the class of *symptoms* and the class of *constraints*. The former corresponds to those variables s_i whose measured values are different from the expected ones. The latter holds those equations whose associated state variables have nominal values.

Let's introduce accordingly two submatrices of C, C' and C'' such that:

$$\underline{\Delta s'} = C' \cdot \underline{\Delta p} \qquad (8)$$
$$\underline{0} = C'' \cdot \underline{\Delta p} \qquad (9)$$

where the number of equations of (8) (the symptoms) is k and the number of equations of (9) (the constraints) is m-k.

According to (L1), for each symptom E_i ($i \in \{1 .. k\}$) a propositional formula A_i is built, consisting of the disjunction of each parameter p_j ($j \in \{1 .. n\}$) such that the coefficient $c'_{i,j}$ of Δp_j in equation E_i is different from zero:

$$A_i = p_1 \vee \ldots \vee p_n$$

The disjuncts of A_i correspond to all and only the parameters such that the variation of one (at least) of them explains symptom E_i, i.e. the difference of observable s_i in (8) from the expected value.

According to (L2), for each constraint E_i ($i \in \{1 .. m-k\}$) the propositional formula B_i is built. If p_j ($j \in \{1 .. n\}$) are the parameters belonging to E_i such that $c''_{i,j}$ is different from zero in equation E_i, we have:

$$B_i = (\neg p_1 \wedge \ldots \wedge \neg p_n) \vee (p_1 \wedge p_2) \vee \ldots \vee (p_{n-1} \wedge p_n)$$

where the literal $\neg p_j$ means that the parameter p_j is not changed. Each disjunct belonging to B_i explains why observable s_i in constraint E_i of (9) is not different from the expected value (either no parameter having influence on E_i is changed or two (at least) of them are changed, but their combined effect on the observable is null).

The formulas A_i ($i \in \{1 .. k\}$) are combined in formula A:

$$A = (A_1 \wedge .. \wedge A_k)$$

This formula represents all the explanations[4] that give an account for symptoms only.
In the same way, the formulas B_i ($i \in \{1 .. m-k\}$), if they exist, are combined in formula B:

$$B = (B_1 \wedge .. \wedge B_{m-k})$$

The formula B represents explanations that justify why the observables in (9) are not changed.

The logical formula (L) explaining all the observations is now obtained by the conjunction of the two formulas A and B:

$$L = A \wedge B$$

L may be used for computing candidates in that, when transformed in disjunctive normal form, its disjuncts represent the plausible diagnosis for both the symptoms and the constraints. A candidate consists of all the positive literals of a disjunct of L.

It is easy to prove that the set CAND of candidates corresponding to the disjuncts of L contains any candidate non-redundant diagnosis of (6). The actual diagnoses are determined by the validation procedure on the quantitative model, as outlined in the next subsection.

4.2 Candidate Validation

A candidate generated according to the procedure described in 4.1 will be a diagnosis of (6) if there exists a solution of (6) such that the value of any parameter included in the candidate is non-zero, and the value of any parameter not included in the candidate is zero.
Before performing validation, set CAND is ordered according to candidate cardinality; those candidates whose cardinality is greater than m are removed, as it is easy to prove that they cannot be non-redundant diagnoses.
Validation is then performed starting from minimum cardinality candidates; when a candidate P is validated, all its supersets are removed from CAND, as they would be redundant with respect to P.

Candidate validation is easily performed with conventional methods for solving linear systems, e.g. the Gauss-Jordan algorithm.

[4] Given a truth value assignment satisfying the formula, an explanation is the set of all and only those positive literals (i.e. parameters) whose assignment is the truth value *True*.

4.3 An Example

Let's consider the following system of three linear equations with five unknowns:

$$\begin{array}{ll} (E_1) & \Delta s_1 = 2\Delta p_1 + 3\Delta p_2 - 4\Delta p_3 - 2\Delta p_4 + 5\Delta p_5 \\ (E_2) & \Delta s_2 = 2\Delta p_1 + 2\Delta p_2 - 6\Delta p_3 \qquad\quad + 8\Delta p_5 \\ (E_3) & \Delta s_3 = \Delta p_1 \qquad\quad - 5\Delta p_3 - 5\Delta p_4 \end{array} \qquad (10)$$

and suppose that the following variations are measured:

$$\Delta s_1 = 6 \ , \quad \Delta s_2 = 4 \ , \quad \Delta s_3 = 0$$

The number of systems of order three to be solved without prior candidate generation is:

$$N = \binom{5}{3} = 10$$

The symptom class holds equations E_1 and E_2. From the symptoms the formulas A_1 and A_2 are computed:

$$A_1 = p_1 \vee p_2 \vee p_3 \vee p_4 \vee p_5$$
$$A_2 = p_1 \vee p_2 \vee p_3 \vee p_5$$

A_1 and A_2 include, respectively, those parameters the observables s_1 and s_2 depend on.

The constraint class includes equation E_3 from which we may build B_1 such that:

$$B_1 = (\neg p_1 \wedge \neg p_3 \wedge \neg p_4) \vee (p_1 \wedge p_3) \vee (p_1 \wedge p_4) \vee (p_3 \wedge p_4)$$

From A_1 and A_2 formula A is built:

$$A = A_1 \wedge A_2 = (p_1 \vee p_2 \vee p_3 \vee p_4 \vee p_5) \wedge (p_1 \vee p_2 \vee p_3 \vee p_5)$$

Formula A represents all the explanations of the variations of both s_1 and s_2.

From B_1 formula B is built; it represents the possible explanations why the observable s_3 is not changed:

$$B = B_1 = (\neg p_1 \wedge \neg p_3 \wedge \neg p_4) \vee (p_1 \wedge p_3) \vee (p_1 \wedge p_4) \vee (p_3 \wedge p_4)$$

Now formulas A and B are joined to obtain formula L:

$$L = A \wedge B = (p_1 \vee p_2 \vee p_3 \vee p_4 \vee p_5) \wedge (p_1 \vee p_2 \vee p_3 \vee p_5) \wedge ((\neg p_1 \wedge \neg p_3 \wedge \neg p_4) \vee (p_1 \wedge p_3) \vee (p_1 \wedge p_4) \vee (p_3 \wedge p_4))$$

Formula L in disjunctive normal form is:

$$\begin{aligned} L = {} & (p_1 \wedge p_3) \vee (p_1 \wedge p_4) \vee (p_1 \wedge p_3 \wedge p_4) \vee (p_1 \wedge p_2 \wedge p_3) \vee \\ & (p_1 \wedge p_2 \wedge p_4) \vee (p_1 \wedge p_2 \wedge p_3 \wedge p_4) \vee (p_1 \wedge p_3 \wedge p_5) \vee \\ & (p_1 \wedge p_4 \wedge p_5) \vee (p_1 \wedge p_3 \wedge p_4 \wedge p_5) \vee (p_2 \wedge \neg p_1 \wedge \neg p_3 \wedge \neg p_4) \vee \\ & (p_2 \wedge p_3 \wedge p_4) \vee (p_2 \wedge p_5 \wedge \neg p_1 \wedge \neg p_3 \wedge \neg p_4) \vee \\ & (p_1 \wedge p_2 \wedge p_3 \wedge p_5) \vee (p_1 \wedge p_2 \wedge p_4 \wedge p_5) \vee (p_2 \wedge p_3 \wedge p_4 \wedge p_5) \vee \\ & (p_3 \wedge p_4) \vee (p_3 \wedge p_4 \wedge p_5) \vee (p_5 \wedge \neg p_1 \wedge \neg p_3 \wedge \neg p_4) \end{aligned}$$

Now set CAND is built from L and sorted with respect to candidate cardinality; then, element having cardinality greater than three (the number of equations of (10)) are deleted. The set of the candidate is the following:

$$\begin{aligned} CAND = \{ & \{p_2\}, \{p_5\}, \\ & \{p_1, p_3\}, \{p_1, p_4\}, \{p_2, p_5\}, \{p_3, p_4\}, \\ & \{p_1, p_3, p_4\}, \{p_1, p_2, p_3\}, \{p_1, p_2, p_4\}, \{p_1, p_3, p_5\}, \\ & \{p_1, p_4, p_5\}, \{p_2, p_3, p_4\}, \{p_3, p_4, p_5\} \\ \} & \end{aligned}$$

Now these proposed candidates must be validated on the quantitative model. The first candidate P of CAND is selected:

$$P = \{p_2\}$$

System (10) admits solution $\{\Delta p_1 = 0, \Delta p_2 = 2, \Delta p_3 = \Delta p_4 = \Delta p_5 = 0\}$ and therefore P is a non-redundant diagnosis. From set CAND all the supersets of P are then removed so that CAND is reduced to:

$$\begin{aligned} CAND = \{ & \{p_5\}, \\ & \{p_1, p_3\}, \{p_1, p_4\}, \{p_3, p_4\}, \\ & \{p_1, p_3, p_4\}, \{p_1, p_3, p_5\}, \{p_1, p_4, p_5\}, \{p_3, p_4, p_5\} \\ \} & \end{aligned}$$

Validation continues until CAND is empty. At the end of the process, i.e. when CAND is empty, the algorithm has computed four non-redundant diagnoses:

$$\{p_2\} \ , \ \{p_1 \ , p_3\} \ , \ \{p_1 \ , p_4 \ , p_5\} \ , \ \{p_3 \ , p_4 \ , p_5\}$$

which correspond to the following solutions:

$$\begin{aligned} & \{\Delta p_1 = 0, \Delta p_2 = 2, \Delta p_3 = \Delta p_4 = \Delta p_5 = 0\} \\ & \{\Delta p_1 = 5, \Delta p_2 = 0, \Delta p_3 = 1, \Delta p_4 = \Delta p_5 = 0\} \\ & \{\Delta p_1 = 10, \Delta p_2 = \Delta p_3 = 0, \Delta p_4 = 2, \Delta p_5 = -2\} \\ & \{\Delta p_1 = \Delta p_2 = 0, \Delta p_3 = 2, \Delta p_4 = -2, \Delta p_5 = 2\} \end{aligned}$$

5 Conclusions

We may now restate and precise the comparison with previous works on model based diagnosis. In particular we will refer to GDE as presented by de Kleer and Williams [1987]. GDE uses a single, quantitative, model of the system to diagnose; the basic computation mechanism is constraint propagation. Candidate generation is based on comparing the results computed by constraints under different assumptions with measured values. Constraint propagation is the source of the incompleteness of GDE, due the inability to solve simultaneous equations [de Kleer and Williams, 1987], that makes it unpractical for a large class of applications, including almost any continuous process. Our approach exploits a causal view of the system for generation of candidates similar to causal ordering as proposed by Iwasaki and Simon [1986]. This is coupled to conventional techniques for candidate validation, thus overcoming the above limitation of constraint propagation. As candidates are determined on the basis of a causal model, it is easy to provide natural justifications to diagnoses generated by the system.

Finally, an important difference with the approach to diagnosis taken by GDE is the neat separation between generation and validation of candidates. We have remarked in section 2 that this is suggested from the specific features of diagnosis in process control as opposed to diagnosis of electrical circuitry, because in the former measurements are usually all available before the diagnostic process is started. Thus stepwise refinement of diagnosis is not justified in our context.

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